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FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

Complete if Known			
Application Number	10/553,685 (Natl. Phase of PCT/US2004/008323)		
Filing Date	§371 Date: November 1, 2006		
First Named Inventor	MI, Sha		
Art Unit	1656		
Examiner Name	CARLSON, Karen C.		
Attorney Docket Number	2159.0440003/EJH/CLD		

_			U.S. PATENT DO	CUMENTS	
Examiner	Examiner Cite Initials No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
minais	110.	Number-Kind Code ² (If Known)		Tipping in or once beginning	or Relevant Figures Appear
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Examiner Initials*	Cite No.'	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			T ⁶
	FP24	WO 88/09810 A1	12-15-1988	Synthetic Genetics	
	FP25	WO 89/01036 A1	02-09-1989	Celltech Limited	
	FP26	WO 89/10134 A1	11-02-1989	The Regents of the Univ. of CA	
	FP27	WO 89/12624 A2	12-28-1989	Cetus Corporation	
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-	FP29	WO 90/11364 A1	10-04-1990	University Patents, Inc.	
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	FP31	WO 91/10737 A1	07-25-1991	Molecular Affinities Corp.	
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	FP33	WO 91/14438 A1	10-03-1991	Trustees of Columbia Univ. in the City of NY	
	FP34	WO 92/01047 A1	01-23-1992	Cambridge Antibody Tech., Ltd.	

Examiner	Date
Signature	Considered

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Substitute for form 1449/PTO		Complete	Complete if Known		
FIRST	SUP	PLEN	IENTAL	Application Number	10/553,685 (Natl. Phase of PCT/US2004/008323)
INFO	RMA'	TION	DISCLOSURE	Filing Date	§371 Date: November 1, 2006
			APPLICANT	Direct Managed Increases	MI, Sha
SIAI			eets as necessary)	Art Unit	1656
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Sheet	2	of	5	Attorney Docket Number	2159.0440003/EJH/CLD

			U.S. PATENT DO		
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
minais	Number-Kind Code ^{2 (If Known)}			or Relevant Figures Appea	
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	FP35	WO 92/08495 A1	05-29-1992	Abbott Biotech, Inc.	
	FP36	WO 92/18619 A1	10-29-1992	The Scripps Research Inst.	
	FP37	EP 0 519 596 A1	12-23-1992	Merck & Co. Inc.	
	FP38	WO 92/22324 A1	12-23-1992	Xoma Corporation	
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	FP40	EP 0 396 387 B1	12-22-1993	Research Development Foundation	
	FP41	EP 0 368 684 B1	03-09-1994	Medical Research Council	
-	FP42	WO 94/09817 A1	05-11-1994	City of Hope	
	FP43	EP 0 239 400 B1	08-03-1994	Medical Research Council	

Examiner Signature	Date Considered	

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FIRST	SUP	PLEN	1ENTAL	Application Number	10/553,685 (Natl. Phase of PCT/US2004/008323)
			DISCLOSURE	Filing Date	§371 Date: November 1, 2006
STATEMENT BY APPLICANT		First Named Inventor	MI, Sha		
SIAI			eets as necessary)	Art Unit	1656
	(Ose us	muny sne	ters us necessury)	Examiner Name	CARLSON, Karen C.
Sheet	3	of	5	Attorney Docket Number	2159.0440003/EJH/CLD

			U.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
		Number-Kind Code ^{2 (If Known)}			or Relevant Figures Appear
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	FP44	WO 95/15982 A2	06-15-1995	Genzyme Corporation	
	FP45	WO 95/20401 A1	08-03-1995	Trustees of Boston Univ.	
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	FP47	WO 96/33735 A1	10-31-1996	Cell Genesys, Inc.	
	FP48	WO 96/34096 A1	10-31-1996	Cell Genesys, Inc.	
	FP49	WO 97/00271 A1	01-03-1997	The Regents of the University of California	
	FP50	WO 98/16654 A1	04-23-1998	Japan Tobacco, Inc.; Abgenix, Inc.	
	FP51	WO 98/24893 A2	06-11-1998	Abgenix, Inc.	
	FP52	WO 98/46645 A2	10-22-1998	Peter KUFER	

Examiner	Date
Signature	Considered

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INFORMATION DISCLOSURE				Filing Date	§371 Date: November 1, 2006		
			APPLICANT	First Named Inventor	MI, Sha		
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Sheet	4	of	5	Attorney Docket Number	2159.0440003/EJH/CLD		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Number-Kind Code ^{2 (If Known)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appea	
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	FP53	WO 98/050433 A2	11-12-1998	Abgenix, Inc.	
	FP54	WO 98/52976 A1	11-26-1998	Biovation Limited	
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	FP63	WO 03/061559 A2	07-31-2003	University of Vermont and State Agricultural	

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					Art Unit	1656	
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	FP64	EP 0 592 106 B1	11-24-2004	Immunogen Inc.	
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Sheet	1	of	7	Attorney Docket Number	2159.0440003/EJH/CLD

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
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	NPL34	Baulida, J., et al., "All ErbB Receptors Other Than the Epidermal Growth Factor Receptor Are Endocytosis Impaired," J. Biol. Chem. 271:5251-5257, The American Society for Biochemistry and Molecular Biology, Inc. (1996)	
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Substitute for form 1449/PTO		Complete if Known			
INFORMATION DIOCLOSURE		Application Number	10/553,685 (Natl. Phase of PCT/US2004/008323)		
INFORMATION DISCLOSURE				Filing Date	§371 Date: November 1, 2006
STATE	MENT BY	' API	PLICANT	First Named Inventor	MI, Sha
	(Use as many	sheets a	s necessary)	Art Unit	1656
		Examiner Name	CARLSON, Karen C.		
Sheet	2	of	7	Attorney Docket Number	2159.0440003/EJH/CLD

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ₂
	NPL43	Eby, M.T., et al., "TAJ, a Novel Member of the Tumor Necrosis Factor Receptor Family, Activates the c-Jun N-terminal Kinase Pathway and Mediates Caspase-independent Cell Death," J. Biol. Chem. 275:15336-15342, The American Society for Biochemistry and Molecular Biology, Inc. (2000)	
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STATEMENT BY APPLICANT	First Named Inventor	MI, Sha
(Use as many sheets as necessary)	Art Unit	1656
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Sheet 3 of 7	Attorney Docket Number	2159.0440003/EJH/CLD

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	NPL53	Harwerth, IM., et al., "Monoclonal Antibodies against the Extracellular Domain of the erbB-2 Receptor Function as Partial Ligand Agonists," J. Biol. Chem. 267:15160-15167, The American Society for Biochemistry and Molecular Biology, Inc. (1992)			
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INFORMATION DISCLOSURE				Filing Date	§371 Date: November 1, 2006	
STATEMENT	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	MI, Sha	
(Use as				Art Unit	1656	
				Examiner Name	CARLSON, Karen C.	
Sheet	4 (of	7	Attorney Docket Number	2159.0440003/EJH/CLD	

		Non Patent Literature Documents	10
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ³
	NPL63	Li, S., et al., "Blockade of Nogo-66, Myelin-Associated Glycoprotein, and Oligodendrocyte Myelin Glycoprotein by Soluble Nogo-66 Receptor Promotes Axonal Sprouting and Recovery after Spinal Injury," J. Neurosci. 24:10511-10520, Society of Neuroscience (November 2004)	
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	NPL83	Trapp, B.D., et al., "Pathogenesis of tissue injury in MS lesions," J. Neuroimmunol. 98:49-56, Elsevier Science B.V. (1999)	
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	NPL90	Zhou, P., et al., "ErbB2 Degradation Mediated by the Co-chaperone Protein CHIP," J. Biol. Chem. 278:13829-13837, The American Society for Biochemistry and Molecular Biology, Inc. (2003)	
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	NPL92	International Search Report for International Application No. PCT/US2004/008323, mailed on October 15, 2004, European Patent Office, Rijswijk, Netherlands	

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PL93	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published United States Patent Application No. 11/892,036, inventors Mi, et al., continuation of PCT/US2006/026271, international filing date of July 7, 2006 (NOT PUBLISHED) United States Patent Application No. 12/092,662, inventors Mi, et al., National Phase of PCT/US2006/042990, international filing date of November 3, 2006 (NOT PUBLISHED)	T ²
	PCT/US2006/026271, international filing date of July 7, 2006 (NOT PUBLISHED) United States Patent Application No. 12/092,662, inventors Mi, et al., National Phase of PCT/US2006/042990, international filing date of November 3, 2006 (NOT	
PL94	of PCT/US2006/042990, international filing date of November 3, 2006 (NOT	
PL95	United States Patent Application No. 12/095,857, inventors Mi, et al., National Phase of PCT/US2006/045993, international filing date of December 1, 2006 (NOT PUBLISHED)	
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